



Attorney Docket: 014033-000014

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Jennifer B. Owens et al.

Group Art Unit: 3623

Serial Number: 10/605,551

Examiner: Unassigned

Filed: October 8, 2003

For: SYSTEM AND METHOD FOR OPERATIONAL  
RISK ASSESSMENT AND CONTROLCommissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97**

It is respectfully requested that the document listed on the attached Form PTO/SB/08A be considered by the Patent and Trademark Office in the above-referenced application and made of record therein. A full text copy of the relevant document is enclosed. This information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last.

Respectfully submitted,

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Date

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail on January 14, 2004, in an envelope addressed to Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450.

Patricia L. Pontello

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Application Number	10/605,551
Filing Date	October 8, 2003
First Named Inventor	Jennifer B. Owens
Art Unit	3623
Examiner Name	Unassigned
Attorney Docket Number	014033-000014

AA	Valentine, D., Risk Management: A Tactical Guide, Celent Communications, (September 2003)
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Date Considered	/Romain Jeanty/ (03/31/2008)
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